







Appendix 1

Outstanding Audit Recommendations

Name of Audit	Quarter 3 2016/17					Progress in Quarter 3 2016/17				
	High Level rec's not Completed		Medium Level rec's not Completed		Total	High Level rec's Completed	Medium Level rec's Completed	Total	DOT	Audit Sponsor
	No Progress	Partial	No Progress	Partial						
Adult Safeguarding 11 15 16	0	0	0	1	1	0	0	0		Alan Sinclair
avarto phase II contract management 25 14 15	3	5	1	1	10	1	2	3		Roger Parkin
avarto performance management 45 14 15	1	0	0	1	2	2	0	2		Roger Parkin
Budgetary Control and Financial Reporting 25 15 16	0	0	1	0	1	1	2	3		Neil Wilcox
Business Continuity Planning Arrangements 1 16 17	1	3	1	1	6	1	0	1		Mike England
Creditors 19 15 16	0	0	0	1	1	1	0	1		Neil Wilcox
General Ledger 31 15 16	0	0	2	0	2	0	0	0		Neil Wilcox

Appendix 1

Name of Audit	Quarter 3 2016/17					Progress in Quarter 3 2016/17				
	High Level rec's not Completed		Medium Level rec's not Completed		Total	High Level rec's Completed	Medium Level rec's Completed	Total	DOT	Audit Sponsor
	No Progress	Partial	No Progress	Partial						
Matrix - Management of Agency Staff 7 15 16	1	1	2	0	4	0	5	5		Roger Parkin
Payroll 26 15 16	0	0	0	1	1	0	0	0		Neil Wilcox
Rent Accounts 28 15 16	0	0	0	1	1	0	0	0		Neil Wilcox
Risk Management	0	0	0	1	1	0	6	6		Neil Wilcox
Slough Community Leisure 7 14 15	0	0	1	0	1	1	0	1		Gurpreet Anand
Schools Thematic Review 24 15 16	0	0	1	0	1	0	0	0		Jo Moxon

Appendix 1

Internal Audit Management Actions falling due since last quarter

Name of Audit	High Level rec's not Completed		Medium Level rec's not Completed		Total
	No Progress	Partial	No Progress	Partial	
Budgetary Control and Financial Reporting 10 16 17	0	0	0	2	2
Capital Expenditure 20 15 16	0	0	1	1	2
Cash Handling 22 15 16	0	0	1	0	1
Counter Fraud Arrangements 43 15 16	0	0	1	1	2
Income & Debtors Management 38 15 16	0	0	1	0	1
Information Governance	0	0	1	0	1
Procurement 40 14 15	0	1	0	2	3